

2-09-01

A

Patent Application

National Aeronautics and
Space AdministrationNPO- 21015-18CU
(NASA Docket No.)

Headquarters

Washington, DC 20546-0001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

THE COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, DC 20231

Sir:

Transmitted herewith for filing is the Patent Application of:

Applicant: Jay F. Whitacre, Ratnakumar V. Bugga, William C. West

Inventor(s): Jay F. Whitacre, Ratnakumar V. Bugga, William C. West

Entitled: STRUCTURE AND METHOD FOR MANUFACTURING THIN-FILM
LITHIUM MICROBATTERIES

Enclosed are:

- ☒ Specification, claims, and declaration.
- ☒ 7 sheets of drawing. ☒ Power of Attorney
- ☒ An assignment of the invention to the National Aeronautics and Space Administration.
- ☐ A license of the invention to the National Aeronautics and Space Administration.
- ☐ An international application under the PCT. (See PCT/RO/101,XII checklist.)

The fee has been calculated as shown below:

FOR (1)	CLAIMS FILED		RATE (4)	FEE (5)
	FILED (2)	EXTRA (3)		
TOTAL CLAIMS — — — —	20 20	=	x	=
INDEPENDENT CLAIMS — — —	3 3	=	x	=
BASIC FEE (Minimum amount required) — — — — —				\$710.00
TOTAL FILING FEE				\$710.00

Please charge our Deposit Account No. 14-0116 in the amount shown as the total filing fee. The Commissioner is hereby authorized to charge an additional fee which may be required to effect the filing of this application under 37 CFR 1.16, or credit any overpayment to Deposit Account No. 14-0116.

Feb. 8, 2001
(Date)

John H. Kusmiss

John H. Kusmiss
(Attorney of Record) (Reg. No.) 32,149

NOTE: This form is to be used when filing a new or original application.

CERTIFICATE OF MAILING BY "EXPRESS MAIL"
UNDER 37 CFR 1.10 - SEPARATE PAPER -

DOCKET NO.
NPO-21015 -1-CU

In Re Application of:

Serial Number _____ Filed _____

For: STRUCTURE AND METHOD FOR MANUFACTURING THIN-FILM
LITHIUM MICROBATTERIES

Group Art Unit _____ Examiner _____

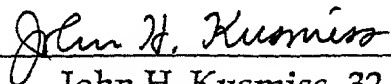
I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and is addressed to the Assistant Commissioner for Patents, Washington, D.C., 20231.

I hereby certify that the following were placed in the envelope by me and are enclosed herewith for filing of the Patent Application:

- ☒ Transmittal Letter (Original & 1 copy)
- ☐ Information Disclosure Statement (_____ pages)
with PTO Form 1449 (_____ pages) and _____ Patents
and _____ Articles (if applicable)
- ☒ Specification (20 pages including 4 pages of Claims),
Abstract (1 page), and Drawings (7 Sheets, Figures 1A-10B)
- ☒ Declaration and Power of Attorney (Original)
- ☒ Assignment by Inventor to Caltech (Original) and
Transmittal Letter (Original ~~& 1 copy~~)
- ☒ Assignment by Caltech to NASA (Original) and
Transmittal Letter (Original ~~& 1 copy~~)
- ☒ Return Postcard (1)
- ☒ Request and Certification under 35 U.S.C. 122(b)(2)(B)(i)

Express Mail" mailing label number: EK437904932US

of Deposit: February 8, 2001



John H. Kusmiss, 32,149
818-354-7770

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**REQUEST AND CERTIFICATION
UNDER
35 U.S.C. 122(b)(2)(B)(i)**

First Named Inventor	Whitacre
Title	Structure and Method for Manufacturing Thin-Film Lithium Microbatteries
Atty Docket Number	NPO-21015-1-CU

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

February 8, 2001
Date

John H. Kusmiss
Signature

John H. Kusmiss
Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**